



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Avi Ashkenazi, et al. Docket No.: 39780-2730P1C45  
Serial No.: 09/997,573 Group Art Unit: 1647  
Filing Date: November 15, 2001 Examiner: Hamud, Fozia  
For: PRO1375 POLYPEPTIDES

**INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. §1.97**

**MS: AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
  - ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
  - ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
  - ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

☐ a certification as specified in §1.97(e) is provided below; or

☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

A. a certification as specified in §1.97(e) is completed below; and

B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and

C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39780-2730P1C45).

Respectfully submitted,

Dated: August 5, 2004

By: Daphne Reddy  
Daphne Reddy, Ph.D.  
Reg. No. 53,507

**HELLER EHRMAN WHITE & MCAULIFFE LLP**

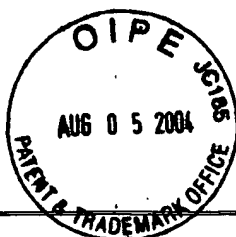
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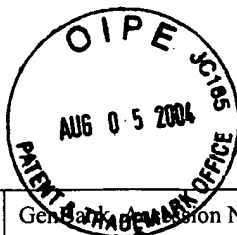
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<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>			<b>ATTY. DOCKET NO.:</b> <b>39780-2730P1C45</b>		<b>SERIAL NO.:</b> <b>09/997,573</b>		
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			<b>FILING DATE: November 15, 2001</b>		<b>GROUP: 1647</b>		
<b>U.S. PATENT DOCUMENTS</b>							
<b>EXAMINER'S INITIALS</b>	<b>PATENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>	
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>EXAMINER'S INITIALS</b>	<b>PATENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>	
						<b>YES</b>	<b>NO</b>
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	Dayhoff, Accession No.: P_AAB50966, WO 200073348-A2, Pub Date: December 7, 2000, Baker, K.P., et al.						
	Dayhoff Accession No.: P_AAB50926, WO 200073452-A2, Pub Date: December 7, 2000, Ashkenazi, A.J., et al.						
	Dayhoff Accession No.: P_AAB65285, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, A.J., et al.						
	Dayhoff Accession No.: P_AAB20120, WO 200105972-A1, Pub Date: January 25, 2001, Ashkenazi, A.J., et al.						
	Dayhoff Accession No.: P_AAM40521, WO 200153312-A1, Pub Date: July 26, 2001, Tang, Y.T., et al.						
	Dayhoff Accession No.: P_AAM38735, WO 200153312-A1, Pub Date: July 26, 2001, Tang, Y.T., et al.						
	Dayhoff Accession No.: P_AAM93740, EP1130094-A2, Pub Date: September 5, 2001, Ota, T., et al.						
	Dayhoff Accession No.: P_AAY66762, WO 9963088-A2, Pub Date: December 9, 1999, Baker, K., et al.						
	Dayhoff Accession No.: P_AAY78807, WO 200000506-A2, Pub Date: January 6, 2000, Kato, S., et al.						
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	Dayhoff Accession No.: CAB92287.1, Direct Submission, Submitted: April 27, 2000, Hankeln, T.						
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	Dayhoff Accession No.: P_AAE06163, WO 200151504-A1, Pub Date: July 19, 2001, Ruben, S.M., et. al.						
	Dayhoff Accession No.: P_AAE06059, WO 200151504-A1, Pub Date: July 19, 2001, Ruben, S.M., et. al.						
	Dayhoff Accession No.: P_AAY87186, WO 200004140-A1, Pub Date: January 27, 2000, Ruben, S.M., et. al.						
	Dayhoff Accession No.: P_AAY87082, WO 200004140-A1, Pub Date: January 27, 2000, Ruben, S.M., et. al.						



GenBank Accession No.: P\_AAF44254, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, A.J., et al.

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STATEMENT**

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**U.S. PATENT DOCUMENTS**

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**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	GenBank, Accession No.: P_AAF44254, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, A.J., et al.
	GenBank, Accession No.: P_AAC91485, WO 200073452-A2, Pub Date: December 7, 2000, Ashkenazi, A.J., et al.
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	GenBank, Accession No.: P_AAZ65108, WO 9963088-A2, Pub Date: December 9, 1999, Baker, K., et. al.
	GenBank, Accession No.: AX077037, WO 0105972-A, Pub Date: January 25, 2001, Ashkenazi, A.J., et. al.
	GenBank, Accession No.: AX055716, WO 0073348-A, Pub Date: December 7, 2000, Baker, K.P., et. al.
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	GenBank, Accession No.: P_AAI57891, WO 200153312-A1, Pub Date: July 26, 2001, Tang, Y.T., et. al.
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	GenBank, Accession No.: P_AAZ98116, WO 200000610-A2, Pub Date: January 6, 2000, Lal, P., et. al.
	GenBank, Accession No.: P_AAV34251, WO 9839446-A2, Pub Date: September 11, 1998, Ruben, S.M., et. al.
	GenBank, Accession No.: P_AAV34189, WO 9839446-A2, Pub Date: September 11, 1998, Ruben, S.M., et. al.
	GenBank, Accession No.: P_AAH33010, WO 200122920-A2, Pub Date: April 5, 2001, Ruben, S.M., et. al.
	GenBank, Accession No.: P_AAD11715, WO 200151504-A1, Pub Date: July 19, 2001, Ruben, S.M., et. al.
	GenBank, Accession No.: P_AAZ98102, WO 200004140-A1, Pub Date: January 27, 2000, Ruben, S.M., et. al.
	GenBank, Accession No.: P_AAZ90054, WO 200000506-A2, Pub Date: January 6, 2000, Kato, S., et. al.
	GenBank, Accession No.: P_AAA39941, WO 200018904-A2, Pub Date: April 6, 2000, Barnes, T.M., et. al.
	GenBank, Accession No.: BC015884, Natl. Institute of Health , Mammalian Gene Collection, Direct Submission, Submitted: October 15, 2001, Strausberg, R.
	GenBank, Accession No.: P_AAD11648, WO 2000151504-A1, Pub Date: July 19, 2001, Ruben, S.M., et. al.
	GenBank, Accession No.: P_AAZ98035, WO 200004140-A1, Pub Date: January 27, 2000, Ruben, S.M., et. al.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

